

Shropshire Council
Legal and Democratic Services
Shirehall
Abbey Foregate
Shrewsbury
SY2 6ND

Date: 22 July 2021
My Ref:
Your Ref:

**Committee:
Audit Committee**

Date: Friday, 30 July 2021
Time: 10.00 am
**Venue: Shrewsbury/Oswestry Room, Shirehall, Abbey Foregate,
Shrewsbury, Shropshire, SY2 6ND**

You are requested to attend the above meeting. The Agenda is attached
**Members of the public will be able to access the live stream of the meeting by
clicking on this link:**

www.shropshire.gov.uk/AuditCommittee30July2021

**There will be some access to the meeting room for members of the press and
public but this will be very limited due to current Health and Safety Regulations. If
you wish to attend the meeting, please e-mail democracy@shropshire.gov.uk to
check that a seat will be available for you.**

Tim Collard
Interim Assistant Director – Legal and Democratic Services

Members of Audit Committee

Rosemary Dartnall
Simon Harris (Vice Chairman)
Nigel Lumby

David Vasmer
Brian Williams (Chairman)

Your Committee Officer is:

Michelle Dulson Committee Officer
Tel: 01743 257719
Email: michelle.dulson@shropshire.gov.uk

AGENDA

1 Apologies for Absence / Notification of Substitutes

2 Disclosable Pecuniary Interests

Members are reminded that they must not participate in the discussion or voting on any matter in which they have a Disclosable Pecuniary Interest and should leave the room prior to the commencement of the debate.

3 Minutes of the previous meetings held on the 4 March 2021 and 20 May 2021 (Pages 1 - 8)

The Minutes of the meetings held on the 4 March 2021 and 20 May 2021 are attached for confirmation.

Contact Michelle Dulson (01743) 257719

4 Public Questions

To receive any questions from the public, notice of which has been given in accordance with Procedure Rule 14. The deadline for this meeting is 10.00am on Wednesday 28 July 2021.

5 Member Questions

To receive any questions of which Members of the Council have given notice. The deadline for notification for this meeting is 10.00am on Wednesday 28 July 2021.

6 Second line assurance: Annual Whistleblowing report (Pages 9 - 14)

The report of the Head of Workforce and Organisation Development is attached.
Contact: Sam Williams (01743) 252817

7 Third line assurance: Internal Audit Annual report 2020/21 (Pages 15 - 46)

The report of the Head of Audit is attached.

Contact: Ceri Pilawski (01743) 257739

8 Third line assurance: Annual review of Internal Audit, Quality Assurance and Improvement Programme (QAIP) 2020/21 (Pages 47 - 60)

The report of the Executive Director of Resources (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

9 Overall assurance: Annual Governance Statement and a review of the effectiveness of the Council's internal controls and Shropshire's Code of

Corporate Governance 2020/21 (Pages 61 - 110)

The report of the Executive Director of Resources (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

10 Third line assurance: Annual Assurance report of Audit Committee to Council 2020/21 (Pages 111 - 128)

The report of the Executive Director of Resources (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

11 Second line assurance: Financial outturn report 2020/21 (Pages 129 - 194)

The report of the Executive Director of Resources (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

12 Second line assurance: Approval of the Council's Draft Statement of Accounts 2020/21 (Pages 195 - 206)

The report of the Executive Director of Resources (Section 151 Officer) is attached. The draft Statement of Accounts (Appendix 2) is 'to follow'.

Contact: James Walton (01743) 258915

13 Third line assurance: External Audit: Audit Plan (Pages 207 - 234)

The report of the Engagement Lead is attached.

Contact: Grant Patterson (0121) 232 5296

14 Third line assurance: External Audit: Informing the audit risk assessment for Shropshire Council 2020/21 (Pages 235 - 270)

The report of the Engagement Lead is attached.

Contact: Grant Patterson (0121) 232 5296

15 Third line assurance: External Audit: Shropshire Pension Fund audit plan (Pages 271 - 288)

The report of the Engagement Lead is attached, for information only.

Contact: Grant Patterson (0121) 232 5296

16 Date and Time of Next Meeting

The next meeting of the Audit Committee will be held on Thursday 16 September 2021 at 10.00 am.

17 Exclusion of Press and Public

To RESOLVE that in accordance with the provision of Schedule 12A of the Local Government Act 1972, Section 5 of the Local Authorities (Executive Arrangements)(Meetings and Access to Information)(England) Regulations and Paragraphs 2, 3 and 7 of the Council's Access to Information Rules, the public and press be excluded during consideration of the following items.

18 Exempt Minutes (Pages 289 - 290)

The exempt minutes of the meeting held on 4 March 2021 are attached for confirmation.

Contact: Michelle Dulson (01743) 257719

19 Internal Audit: Fraud, Special Investigation and RIPA Update (Exempted by Categories 2, 3 and 7) (Pages 291 - 300)

The report of the Principal Auditor is attached.

Contact: Katie Williams (01743) 257737